

**WE OFFER FINANCIAL
WAYS TO LET YOUR
DREAMS SOAR HIGHER**

RT Securities
(Private) Limited



PAKISTAN
STOCK EXCHANGE
LIMITED



**ROSHAN
DIGITAL
ACCOUNT**

دور رہ کر بھی پاس

An Initiative of State Bank of Pakistan



National Clearing
Company of
Pakistan Limited

The background features a series of overlapping, angular geometric shapes in various shades of blue and white, creating a modern, architectural feel. The shapes are layered, with some appearing to recede into the distance while others are in the foreground.

HELLO!

WE SPEAK FINANCIALS
FLUENTLY

CONTENTS

- 4** BUSINESS PROFILE
- 5** FOUNDER'S PHILOSOPHY
- 6** WHAT WE DO
- 8** SERVICES
- 11** OUR EXPERTISE
- 12** SOCIAL MEDIA
- 13** CUSTOMER REVIEWS
- 14** ORGANIZATION CHART
- 16** OUR TEAM
- 17** DIRECTOR'S PROFILE
- 20** FINANCIAL HIGHLIGHTS
- 30** CONTACT US



WHO WE ARE

In the year 2001, RT Securities Private Limited (“RTSL”) was incorporated through the Securities and Exchange Commission of Pakistan under the Companies Ordinance 1984.

Since the sponsors of RTSL have vast prior experience in the capital markets for the last 40 years. RT Securities rapidly implanted its feet into the financial markets and emerged as a reputable brokerage house that provides nationwide brokerage services to a diverse pool of client base that includes retail, high net worth individuals as well as corporates.

RT Securities strength lies in its firm commitment to value addition in investments decisions process for our clients through a transparent, disciplined, authentic, and customer-centric approach.

RTSL is a Trading Right Entitlement Certificate (TREC K-183) Holder of the Pakistan Stock Exchange Limited and a licensed Securities Broker duly registered with SECP. Moreover, the Company is a Corporate Member of the Pakistan Mercantile Exchange Limited (MEM-057)

The Company is primarily engaged in the brokerage business of financial transactions. Our ancillary services include consultancy in corporate finance transactions, fundamental and technical research, and wealth reengineering to respond to the dynamic business environment.

We aim to achieve optimal ROIs (Return of Investment) for our valuable clients and provide insightful research to add value to their decision-making process.



FOUNDER'S PHILOSOPHY

FOUNDER'S PHILOSOPHY

RT Securities is currently focusing on the customer needs and serving them quality services with the minimum service charges in the market.

Our services and standards meet the same quality as the other top contenders in the Stock Market of Pakistan.

Our research team is continuously working hard and producing the best trading techniques that have been beneficial for our clients for years.

We must create an environment in our offices and affiliated workspaces where the talent should be groomed and the employee must have an opportunity to excel in his career.

We must provide ourselves to be a good corporate citizen, support charitable causes, and be fair with our share of taxes.

Reserves must be built, new opportunities and ways must be created where RT can provide its client the best experience of Stock Trading. Through building a reliable and educated team we must make sure that RT stands out as a brand in the stock market of Pakistan.

With the help of Almighty God, the company will surely achieve its target in times to come.

WHAT WE DO

RTSL deals with various prestigious and renowned corporate, individual investors and high net worth clients providing a wide range of services in the field of capital market.

RTSL provides services in Equity Sales & Trading, Roshan Digital Account Pakistan, Online Trading and Research and Analysis.



OUR VISION

Our vision is to be focused to elevate the common man's economy by generating capital from stock investments.

We are committed to contribute towards the growth of Capital Markets of Pakistan and practice the highest ethical standards with our clients and stakeholders.

Our mission is to build confidence & trust of investor in the financial market of Pakistan by timely protecting their interest and create growth opportunities for our business partners via professionalism, innovation and technology.



OUR MISSION



OUR CORE VALUES

Promoting the best practices of investments and following the utmost principles of professionalism and integrity with our clients, prospects, employee, business partners and society at large.

We pride ourselves on providing personalized financial services to our clients, so our client feels confident. We welcome our clients to visit our premises. He is not a disruption in our work, but rather he is the sole reason of it & part of it. We highly appreciate our clients for giving us an opportunity to serve them in proactive manner.

In everything we do, the sole motive for our existence is to serve our clients across our many product platforms and to help them achieve their investment objectives consistently and in a transparent and ethical manner.

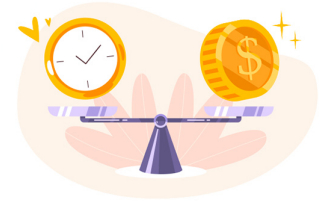
OUR SERVICES

**CORPORATE ACCOUNT
FACILITATION
ONLINE TRADING**

**SAHULAT ACCOUNT
EQUITY SALES & TRADING
ROSHAN DIGITAL ACCOUNT**

OUR SERVICES

EQUITY SALES & TRADING



RTSL is a reputable name in Pakistan's equity and capital market, and offers brokerage facilities for trading in Pakistan Stock Exchange. We have been dealing in equities and trading for more than two decades. We have a team of expert staff members who can keep eagle eye on equities.

RTSL deals in listed equities trading, buying and selling of shares/scripts of the listed companies in Pakistan Stock Exchange. Our experts do it for our valuable clients to ensure that our client get a good profit margin.

Our real time insights may help you in technical analysis of the Stock Market and guide you to make the right investment. We facilitate our clients with technical and fundamental tools in order to make the right decisions. We educate investors with the right set of tools and strategies to take the timely decisions which are profitable in short as well as long run.

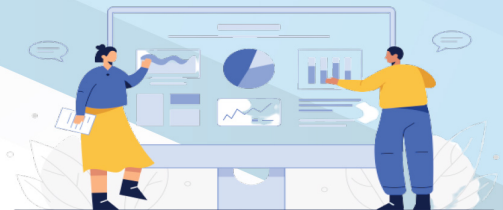
ROSHAN DIGITAL ACCOUNT



Roshan digital account enables overseas Pakistanis to open and operate digital accounts while staying abroad.

RTSL gives you ease to invest in Pakistan stock exchange from overseas. Non-resident Pakistanis(NRPs) who have Smart National Identity Card (SNIC), National Identity Card for Overseas Pakistanis(NICOP),The Computerized National Identity Card (CNIC).and Members of Overseas Pakistanis Foundation (OPF) who have Pakistan Origin Card(POC) may apply for Roshan Digital Account Pakistan.

RESEARCH & ANALYSIS



Our team of experts propose investment ideas based on fundamental analysis and future growth prospects, perform extensive market research with our advanced systems of trading. This methodology of investment involves critical analysis of price and volume data for anticipating future trends. Our experts do fundamental research and technical analysis for valuable clients to save their time and effort.

OUR SERVICES

FACILITATION



RTSL provides updated account opening forms for corporate and as well as individual clients. Our competent and experienced staff members may help in filling out these forms on your behalf for your ease. We also provide biometric verification with a portable facility.

ONLINE TRADING



RTSL offers online trading to those reputable clients who are interested in trading by online service for trading in Pakistan Stock Exchange by which they can capture the market benefits in real time.

OUR EXPERTISE

01

STOCK
COMPARISON

TRADERS
ASSISTANCE

02

03

CLIENT
AWARENESS

RESEARCH &
ANALYSIS

04

05

CUSTOMER
EXPERIENCE

MINIMAL
COMMISSION

06

07

ONLINE
TRADING

SOCIAL MEDIA PRESENCE



FACEBOOK

facebook.com/RTsecuritiesPvtLtd

LINKEDIN



linkedin.com/company/rtsecurities



TWITTER

twitter.com/rtsecurities

WEBSITE

rtsecurities.com.pk



CUSTOMER REVIEWS

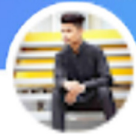
“ Wonderful environment to work in it's my honor to be a part of RT Securities. Management is very cooperative. ”

Sarosh Kamran



“ Good place for investment and trading. ”

Syed Danish Fazal

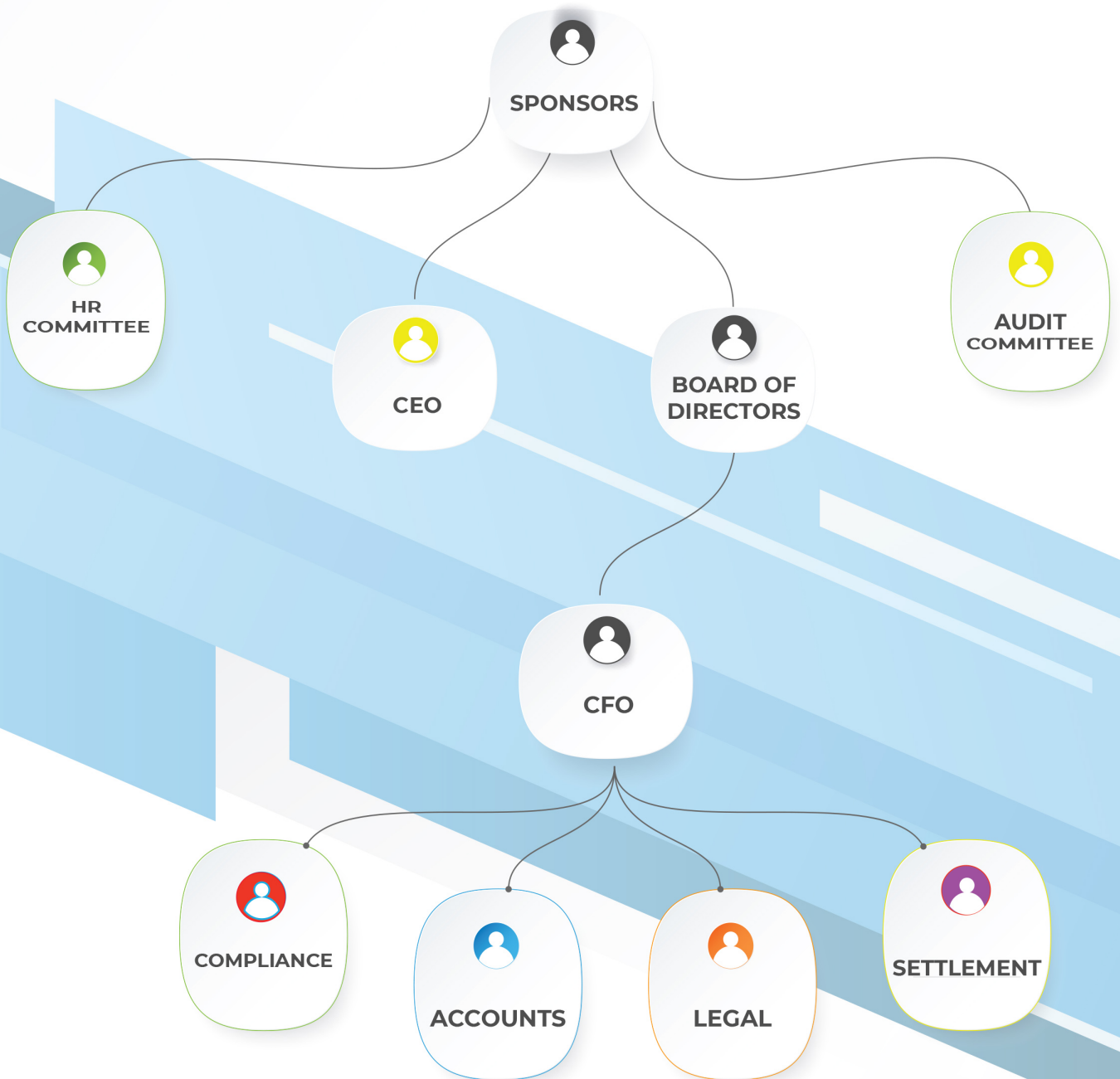


“ I would like to give 5 stars to RT Securities they have a great team and provide me great knowledge about stocks. ”

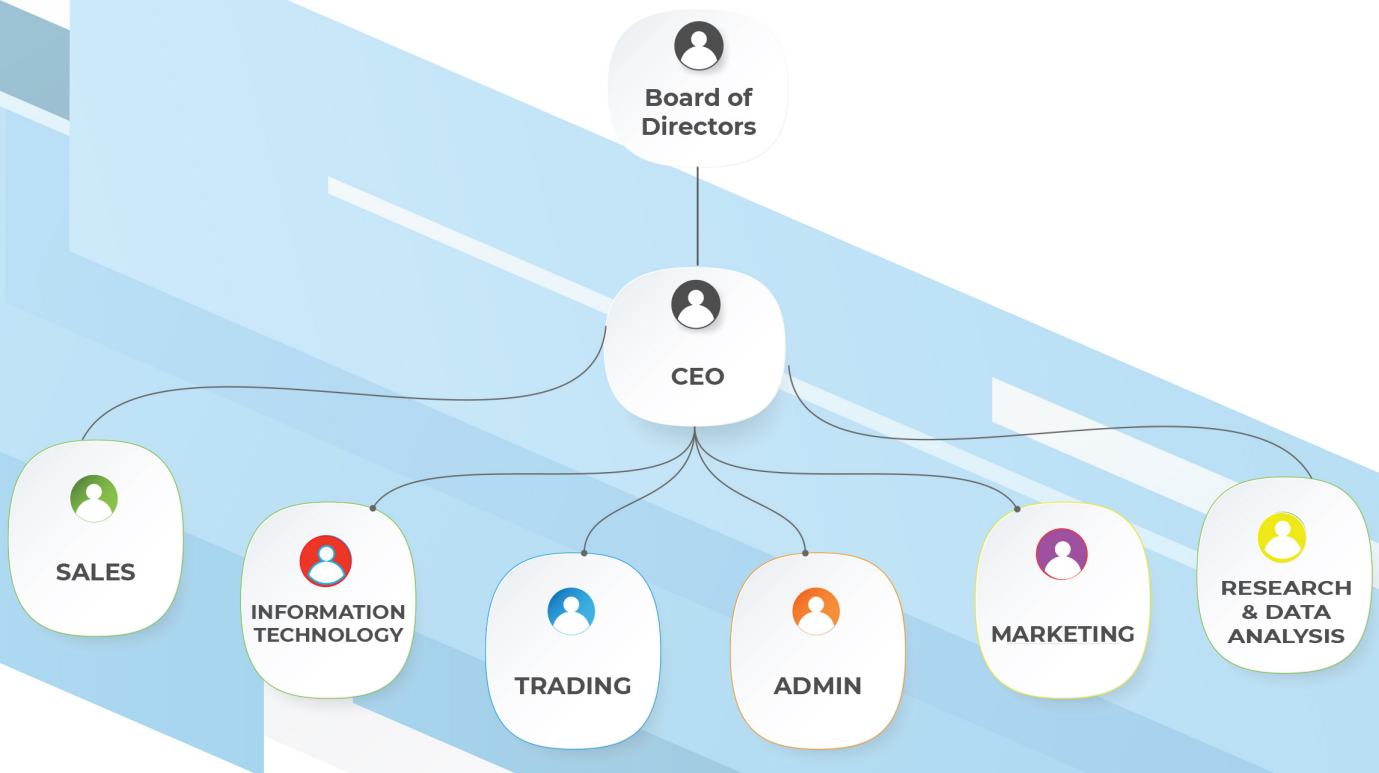
Ar Raheem



ORGANIZATIONAL CHART



ORGANIZATIONAL CHART



Our Team



CHIEF EXECUTIVE OFFICER

Amir Ali Khan

CHIEF FINANCIAL OFFICER

Moiz Nathani

SETTLEMENT

Muhammad Saleem

COMPLIANCE

Abdul Qadir

IT COORDINATOR

Zubair Ishaq

EQUITY TRADER

Salman Ghazi

INTERNAL AUDITOR

Muhammad Farooq

DIRECTOR'S PROFILE

DIRECTOR'S PROFILE

Aamir Ali Khan **Chief Executive/Director**

Mr. Aamir Ali Khan, is the Promoter as well as the CEO of our Company.

He holds a degree of MBA and has also completed CA training from Ford Rhodes Robson Morrow.

He has been associated with our company since 2015 and looking after the day to day affairs of the Company.

He has an experience of more than 12 years in the stock market operations and is well aware of the trading function and market strategies. He has previously worked as a CFO for more than 10 years in a Textile/Retail entity.

DIRECTOR'S PROFILE

DIRECTOR'S PROFILE

Mohammad Ali Tumbi **Executive Director**

Mr. Mohammad Ali Tumbi, holds a Master's degree in Business Entrepreneurship & Technology from the University of Waterloo, and a BBA in Accounting from the University of Toronto. Mr. Tumbi is a Sponsor/Executive Director and the Promoter of our Company. He has experience of more than 18 years in the field of financial markets. His involvement and guidance have been instrumental in the growth and development of the Company.

He possesses sound knowledge about the intricacies of Pakistan's stock market. His vast experience in international markets, helps him to effectively gauge bear market directions. Previously as a member of several committees at the Pakistan Stock Exchange of being director/partner in several companies/business, he has been a key player in bringing the overall growth of the company.

He is also actively involved in real estate trading and construction and is managing a diversified portfolio of real estate which brings him in close contact with senior executives of some top standard companies and leading financial institutions.

DIRECTOR'S PROFILE

Mahmood Rafi Khan Director

Mr. Mahmood Rafi Khan, F.C.A. is a senior Chartered Accountant from Karachi. He is the Director of RTSL, and has experience of several years in the stock market. Prior to this he has worked as CFO of leading multi-national companies and has vast experience of over four decades in finance, taxation and company matters.

He is well exposed to the intricacies of the management of industrial and commercial companies and of import and export regulations. He has a deep insight of the banking and monetary policies of the country and the regulatory framework of the corporate sector. He takes keen interest in reviewing legal matters and agreements between parties and international organizations.



RT SECURITIES

FINANCIAL HIGHLIGHTS

2021 // PREPARED BY NASIR JAVAID MAQSOOD IMRAN

DIRECTORS' REPORT

On behalf of the Board of Directors of the Company, I am pleased to present our report together with the audited financial statement of the Company for the year June 30, 2021.

Performance Overview

The following depicts the Company's performance in the current year.

	Rupees
Operating revenue	97,975,434
Operating expenses	(31,069,075)
Operating profit	66,906,359
Other charges	-
Other income	4,152,725
Profit before taxation	71,059,084
Taxation	(1,835,728)
Profit after taxation	69,223,355

Earnings per share

Earnings per share for the year ended 30th June 2021 was Rs. **6.14**

Capital Market Review & Outlook

Pakistan's equities market performance remained extremely volatile during the year under review influenced by various domestic and exogenous factors. Aggressive measures by the Government of Pakistan and the State Bank of Pakistan to counter negative economic impacts of the pandemic and the subsequent lockdown including reduction in interest rates and the construction package regained momentum and the KSE100 Index closed the year at 47,356. Moreover, lower inflation and interest rates are likely to keep the equities market in limelight with improved earnings growth outlook.

Dividend:

The Directors do not recommended any dividend during the year due to cash flow requirement during next financial year.

External Auditors

The retiring auditors, M/s. Nasir Javaid Maqsood Imran., Chartered Accountants, being eligible, have offered themselves for reappointment

Dated: **02 OCT 2021**



Director



Chief Executive



INDEPENDENT AUDITOR'S REPORT

To the members of R.T. Securities (Private) Limited

Report on the Audit of the Financial Statements

Opinion

We have audited the annexed financial statements of **R.T. Securities (Private) Limited (the Company)**, which comprise the statement of financial position as at **June 30, 2021** and the statement of profit or loss and other comprehensive income, the statement of changes in equity, the statement of cash flows for the year then ended, and notes to the financial statements, including a summary of significant accounting policies and other explanatory information, and we state that we have obtained all the information and explanation which, to the best of our knowledge and belief, were necessary for the purpose of the audit.

In our opinion and to the best of our information and according to the explanations given to us, the statement of financial position, statement of profit or loss and other comprehensive income, the statement of changes in equity and the statement of cash flows together with the notes forming part thereof conform with the accounting and reporting standards as applicable in Pakistan and give the information required by the Companies Act, 2017 (XIX of 2017), in the manner so required and respectively give a true and fair view of the state of the Company's affairs as at **June 30, 2021** and of the loss and other comprehensive loss, the changes in equity and its cash flows for the year then ended.

Basis for Opinion

We conducted our audit in accordance with International Standards on Auditing (ISAs) as applicable in Pakistan. Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are independent of the Company in accordance with the International Ethics Standards Board for Accountants' Code of Ethics for Professional Accountants as adopted by the Institute of Chartered Accountants of Pakistan (the Code) and we have fulfilled our other ethical responsibilities in accordance with the Code. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Offices also at:

LAHORE: 3RD FLOOR, PACE TOWER, PLOT NO. 27, BLOCK-H, GULBERG 2, LAHORE.
Tel: +92(0)42-35754821-22 E-mail: nasirgulzar@njmi.net

ISLAMABAD: OFFICE # 17, 2ND FLOOR, HILL VIEW PLAZA, ABOVE FRESKO SWEETS,
BLUE AREA JINNAH AVENUE, ISLAMABAD.

Tel: + 92 (51) 2228138 Fax: + 92 (51) 2228139 E-mail: islamabadoffice@njmi.net

Information Other than the Financial Statements and Auditor's Report Thereon

Management is responsible for the other information. The other information comprises the directors' report but does not include the financial statements and our auditor's report thereon. Our opinion on the financial statements does not cover the other information and we do not express any form of assurance conclusion thereon.

In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the audit, or otherwise appears to be materially misstated. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact. We have nothing to report in this regard.

Responsibilities of Management and Board of Directors for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with the accounting and reporting standards as applicable in Pakistan and the requirements of Companies Act, 2017(XIX of 2017) and for such internal control as management determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is responsible for assessing the Company's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless management either intends to liquidate the Company or to cease operations, or has no realistic alternative but to do so.

Board of directors are responsible for overseeing the Company's financial reporting process.

Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatements, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs as applicable in Pakistan will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

As part of an audit in accordance with ISAs as applicable in Pakistan, we exercise professional judgment and maintain professional skepticism throughout the audit. We also:

- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
- Obtain an understanding of internal controls relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control.
- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by management.
- Conclude on the appropriateness of management's use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the Company's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our auditor's report to the related disclosures in the financial statements or, if such disclosures are inadequate, to modify our opinion. Our conclusions are based on the audit evidence obtained up to the date of our auditor's report. However, future events or conditions may cause the Company to cease to continue as a going concern.
- Evaluate the overall presentation, structure and content of the financial statements, including the disclosures, and whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation.

We communicate with the board of directors regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit.

Report on Other Legal and Regulatory Requirements

Based on our audit, we further report that in our opinion:

- a) proper books of accounts have been kept by the Company as required by the Companies Act, 2017 (XIX of 2017);
- b) the statement of financial position, the statement of profit or loss and other comprehensive income, the statement of changes in equity and the statement of cash flows together with the notes thereon have been drawn up in conformity with the Companies Act, 2017 (XIX of 2017) and are in agreement with the books of account and returns;

- c) investments made, expenditure incurred and guarantees extended during the year were for the purpose of the Company's business; and
- d) no zakat was deductible at source under the Zakat and Ushr Ordinance, 1980.

The engagement partner on the audit resulting in this independent auditor's report is Mohámmad Javaid Qasim.



Dated:
Karachi

02 OCT 2021

NASIR JAVAID MAQSOOD IMRAN
Chartered Accountants

R.T. SECURITIES (PRIVATE) LIMITED
STATEMENT OF FINANCIAL POSITION
AS AT JUNE 30, 2021

	Note	Rupees 2021	Rupees 2020
ASSETS			
NON-CURRENT ASSETS			
Property & equipment	4	770,058	774,351
Intangible assets	5	2,896,168	2,944,891
Investment property	6	77,058	85,621
Long term advances & deposits	7	14,850,000	14,850,000
		18,593,285	18,654,863
CURRENT ASSETS			
Trade receivables	8	75,554,410	225,735,053
Advances, deposits, pre-payments & other receivables	9	27,145,165	3,863,551
Short term investments	10	141,954,831	68,970,539
Cash & bank balances	11	150,783,749	19,654,127
		395,438,155	318,223,269
TOTAL ASSETS		414,031,440	336,878,132
EQUITY AND LIABILITIES			
CAPITAL AND RESERVES			
Authorized Capital			
15,000,000 (2020: 15,000,000) ordinary shares of Rs. 10/- each		150,000,000	150,000,000
Issued, subscribed and paid-up capital			
Reserves	12	112,710,000	57,800,000
		95,975,044	13,334,072
		208,685,044	71,134,072
LIABILITIES			
Long term loan	13	60,000,000	100,000,000
CURRENT LIABILITIES			
Short term running finance	14	-	134,375,921
Trade payables		140,743,312	19,336,714
Accrued expenses & other liabilities	15	4,603,083	12,031,425
		145,346,396	165,744,060
CONTINGENCIES AND COMMITMENTS	16	-	-
TOTAL EQUITY AND LIABILITIES		414,031,440	336,878,132

The annexed notes from 1 to 34 form an integral part of these financial statements.


Chief Executive


Director

R.T. SECURITIES (PRIVATE) LIMITED
STATEMENT OF PROFIT OR LOSS
FOR THE YEAR ENDED JUNE 30, 2021

	Note	Rupees 2021	Rupees 2020
REVENUE			
Operating revenue	17	36,364,472	10,029,156
Capital gain / (loss) on sale of securities		12,706,157	(51,020)
Unrealised gain on remeasurement of investment at fair value - through profit or loss		48,904,805	11,843,781
		<u>97,975,434</u>	<u>21,821,916</u>
Administrative expenses	18	(15,868,062)	(17,087,121)
Finance cost	19	(15,201,013)	(24,248,978)
		<u>(31,069,075)</u>	<u>(41,336,099)</u>
Operating profit / (loss)		<u>66,906,359</u>	<u>(19,514,183)</u>
Other income	20	4,152,725	2,016,167
Profit/ (loss) before taxation		<u>71,059,084</u>	<u>(17,498,016)</u>
Taxation	21	(1,835,728)	(235,904)
Profit / (loss) after taxation		<u><u>69,223,355</u></u>	<u><u>(17,733,920)</u></u>
Earnings / (loss) per share - basic and diluted	22	<u>6.14</u>	<u>(3.07)</u>

The annexed notes from 1 to 34 form an integral part of these financial statements.


Chief Executive


Director

**R.T. SECURITIES (PRIVATE) LIMITED
STATEMENT OF COMPREHENSIVE INCOME
FOR THE YEAR ENDED JUNE 30, 2021**

Note	Rupees 2021	Rupees 2020
Profit / (loss) after taxation	69,223,355	(17,733,920)
<u>Other comprehensive income / (loss) for the year</u>		
Items that will not be reclassified to statement of profit or loss subsequently		
Unrealised gain / (loss) on remeasurement of investment - At fair value - through other comprehensive income	13,417,617	(3,351,701)
Total comprehensive income / (loss) for the year	82,640,973	(21,085,621)

The annexed notes from 1 to 34 form an integral part of these financial statements.


Chief Executive


Director

PUBLIC WARNING BEWARE OF FRAUD

DO NOT LOSE YOUR MONEY TO

- Illegal Hawala Hundi operators for transfers of funds from abroad
- Brokers or their agents offering investment products promising high rates of return
- Modarabas operating without authorization from SECP
- Foreign brokerage houses not covered under local laws
- Real Estate Schemes/Projects that promise you high gains and residency permits abroad
- Illegal loan schemes requiring you to pay non-refundable upfront fees
- Deposits schemes promising unusually high markup/profit
- Unsolicited investment offers through social media, emails and SMS

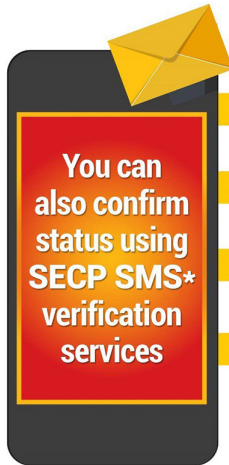
ALWAYS ASK

Is your company registered and licensed with Securities and Exchange Commission of Pakistan (SECP)



ALWAYS CONFIRM

The registration status of Company, Modaraba, Broker or Agent by visiting the SECP website or the Jamapunji portal www.jamapunji.pk



Company verification:

SMS < incorporation ID> to 8181

License Verification:

SMS LV <space> < incorporation ID> to 8181

Agent Verification

SMS <Agent ID> to 8181

Modaraba Verification:

SMS MV<space><Modaraba authorization ID> to 8181

COMPLAINTS

DIAL

0800-88008

or use our new Service Desk Management System (SDMS)


<https://sdms.secp.gov.pk>

www.jamapunji.pk

An investor education initiative of the SECP

LOOKING FORWARD TO MEET YOU!

 Location: Building 10-C, Ittehad Commercial Lane 09, Phase VI, DHA, Karachi.

 Telephone: +92 (21) 35842404, 35842504, 0300 0885959

 Fax: +92 (21) 35842504

 Email: info@rtsecurities.com.pk | rtsecurities@gmail.com



